

**To the Advisory Board and the President:**

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(Last Name) (First Name) (Middle Name)

*is hereby recommended for:* Appointment Reappointment zz Promotion

*to the rank of:* Assistant Professor Associate Professor Professor  
Subject to PhD Center Fellow Senior Fellow

*in the:* UTL NTLR NTLT MCL at \_\_\_\_\_ (Medical Center Affiliation MCL only)

For a term of years Start: \_\_\_\_\_ End: \_\_\_\_\_

With tenure Effective date: \_\_\_\_\_

For a continuing term Effective date: \_\_\_\_\_

Primary department/school/policy institute: \_\_\_\_\_ at \_\_\_\_\_ % time

Secondary department/school/policy institute: \_\_\_\_\_ at \_\_\_\_\_ % time

Courtesy department/school/ policy institute: \_\_\_\_\_

*For an appointment coterminous with support or with an administrative assignment at Stanford or an affiliated institution, note, if applicable, the coterminous nature of the appointment:*

Coterminous with continued salary and other research funding from sponsored projects

Coterminous with continued salary and other support from \_\_\_\_\_

Coterminous with \_\_\_\_\_

**Recommended by (as applicable):**

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(Chair of Primary Department/Director, Date) (Dean of Primary School/Institute, Date)

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(Chair of Secondary Department/Director, Date) (Dean of Secondary School/Institute, Date)

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(Chair of Secondary Department/Director, Date) (Dean of Secondary School/Institute, Date)

**Approved for recommendation to Advisory Board (Academic Council) or President (MCL):**

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(Provost) (date)

**Approved for recommendation to the President by the Advisory Board (Academic Council):**

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(Advisory Board Chair) (date)

**Summary of Votes**

In no more than one page:

- Summarize all relevant Departmental, School and Institutional voting practices;
- Explain any deviation from the usual voting practices, if applicable;
- Using the following table as a template, provide, for **each** voting unit a summary of all votes:

Yes	No	Abstentions (if allowed)	Recusals	Votes by Proxy (if allowed)	Absences	Total: Eligible Voters	Total: Actual Voters

For any negative votes, abstentions or recusals, provide a brief explanation (if known). Depending upon School practice, explanatory memoranda from voters may be appended.

**I.A CURRICULUM VITAE**

Provide a dated curriculum vitae.

**I.B CANDIDATE'S STATEMENT**

If applicable, provide a candidate's statement not to exceed **3 pages**. Candidate's statements are required for all reappointments and promotions. They are encouraged for new appointments at the junior level and optional for new appointments at senior rank.

## II. NARRATIVE REPORT ON THE CANDIDATE

### Description of the Candidate's Intended Role, Evaluation of the candidate

In no more than **5 pages**, provide a report, based on the assessment of the candidate and the evidence gathered, that both describes the intended roles of the candidate and evaluates the candidate's suitability for the position. Identify the author(s) of this report. In some cases, the description of the role and the assessment of performance may be written by different authors.

For issues that have arisen during the departmental, school or institutional review or to address issues not covered below, a cover memorandum from the Chair (or the Dean in Schools without departments, or the Director of designated policy institutes) should be submitted to accompany the file. Cover memoranda should provide a quick overview of programmatic role and any issues requiring clarification, but should be kept brief and strive not to duplicate information already relayed in the file.

**Describe scholarship and/or creative contributions.** Describe at least one work and its significance/impact. Note significant administrative, service activities, and/or awards and honors if applicable.

**Evaluate the candidate's scholarship and/or creative contributions;** consider in your evaluation the comments (and if applicable, peer ranking) by referees and trainees, the candidate's trajectory and future directions, fit for programmatic role, any issues meriting particular attention such as negative referee letters and/or votes, special circumstances, etc.

**Describe the candidate's teaching role** (if any) including, as applicable, classroom teaching, mentoring, and/or pedagogical innovations.

**Evaluate the candidate's teaching performance to date,** including trainee assessments and teaching evaluations.

**Describe the candidate's clinical responsibilities** (if any).

**Evaluate the candidate's clinical role and performance to date,** including clinical evaluations and comments on clinical performance by referees and trainees.

### III. SEARCH or EVALUATION PROCESS

**New Appointments:** Please provide the following:

1. A description of the search process that led to this recommendation ( $\frac{1}{2}$  - **1 page**);
2. A copy of the search authorization for the position for which the candidate is recommended *or* for waived searches, a copy of the Provost's waiver approval letter;
3. A brief description of applicants included on the short list, with particular attention given to any URM or female candidates on the list ( $\frac{1}{2}$  - **1 page**);
4. Affirmative action efforts and results obtained, including chart of availability pool data for the discipline ( $\frac{1}{2}$  **page plus** FASI or AJO grid *plus* chart of availability pool data).
5. One copy of the search advertisement, if applicable.

**Reappointments and Promotions:** Include the following:

1. A copy of the notification sent to the candidate that the review process has commenced;
2. A brief description of the process that led to this recommendation.

Below, list members of the search and/or evaluation committee (and if applicable, of any separate evaluation committee). Briefly disclose information regarding any negative votes, abstentions, or recusals from final search/evaluation committee meeting, if applicable ( $\frac{1}{2}$  page).

#### SEARCH/EVALUATION COMMITTEE MEMBERS

**IV. REFEREE LETTERS**

Provide the following:

1. A dated sample of the solicitation letter sent to referees;
2. All letters received from referees, plus declinations and other substantive correspondence from referees who did not submit letters

**REFEREE GRID**

Summarize the number of letters solicited and received.

Number of external letters	Solicited	Received
Number of internal letters	Solicited	Received

**PEER COMPARISON GRID** (*required for all appointments, reappointments or promotions conferring tenure and for senior rank actions in the Non-Tenure Research Line; optional for promotion to already-tenured full Professor*).

**V. TRAINEE/ STUDENT LETTERS**

Provide the following:

1. A description of the process used to select trainees for solicitation;
2. A dated copy of the solicitation letter sent to trainees; for non respondents, there should be a minimum of two follow-up requests;
3. All letters received from trainees, plus declinations or other substantive correspondence from trainees who did not submit letters.

**TRAINEE LETTER GRID**

## VI. TEACHING AND CLINICAL EVALUATIONS

Provide the following:

1. A summary page or pages, in table form, of all courses for which teaching evaluations were available, as applicable.

For most schools, summaries of teaching evaluations may be obtained via the Office of the Vice Provost for Teaching and Learning. Please refer to the "Teaching Evaluation and Student Feedback" page linked below for important information and guidelines on obtaining and using evaluation data:

For other schools and other types of evaluations, please provide a customized summary, in table format, of all evaluations received, as applicable, and an explanation, as applicable, of the types of evaluative materials being provided.

2. A summary of *representative* written comments by trainees, if applicable;  
*detailed evaluations and comments may be included in an appendix at the end of the form;*

3. Clinical Evaluations, if applicable.

4. If required evaluations are not available, please provide an explanation.

**SAMPLE COURSE EVALUATION TABLE** (if needed)



**VII. COUNSELING** (if applicable)

Provide a *draft* counseling memorandum to be provided to the candidate following the President's approval of the proposed action.

Counseling memoranda are **REQUIRED** for:

- All reappointments except for those conferring tenure or continuing term
- All promotions except for those conferring tenure or continuing term

For reappointments or promotions conferring tenure, counseling memoranda are optional and at the discretion of the Department Chair and/or Dean.

The counseling memorandum should:

- Address the candidate's performance
- Make recommendations for improvement as applicable
- Include the full text of criteria for future advancement, if applicable.

**VIII. APPENDICES** (if applicable)

Items to include in an appendix may include:

- Additional copies of the search advertisement and/or solicitation letter;
- Lists of persons and organizations solicited during the search process;
- Limited additional back-up material for teaching evaluations;
- Other materials as may be relevant to sections of this form.